

11/30/01  
1044 U.S. PTO

12-03-01

Express Mail No.: EL 477 032 717 US

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**PENNIE & EDMONDS LLP**  
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ATTORNEY DOCKET NO. 9516-026-999

Date: November 30, 2001

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

1050 U.S. PTO  
10/001281  
11/30/01

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Wen-Jen Hwu

Executed on: November 30, 2001

Title of Invention: COMPOSITIONS AND METHODS FOR THE TREATMENT OF CANCER

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	45	-20	25	\$18.00 each	\$ 450.00
Independent	8	-3	5	\$84.00 each	\$ 420.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 280.00
<b>Total</b>					\$ 1,890.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- \$ 0.00
<b>Total Filing Fee</b>					\$ 1,890.00

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- ☒ Priority of application no. 60/250,130 filed on December 1, 2000 is claimed under 35 U.S.C. § 119(e).
- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.


Respectfully submitted,

*Anthony M. Ihsogna*  
Anthony M. Ihsogna  
PENNIE & EDMONDS LLP

*By: Michael Byrner*  
Reg. No. 47,458  
35,203  
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

Please type a plus (+) inside this box - 

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032


Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	9516-026-999	Total Pages	55
	First Named Inventor or Application Identifier			
	Hwu			
	Express Mail Label No.	EL 477 032 717 US		

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>47</u> ] <i>(preferred arrangement set forth below)</i> -Descriptive title of the Invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings <i>(if filed)</i> -Detailed Description of the Invention (including drawings, <i>if filed</i> ) -Claim(s) -Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies	
4. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>  </u> ]	<b>ACCOMPANYING APPLICATION PARTS</b>	
5. <input checked="" type="checkbox"/> Oath or Declaration (unexecuted) [Total Sheets <u>2</u> ] <input type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 19 completed)</i>  i. <input type="checkbox"/> <b>DELETION OF INVENTORS(S)</b>  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
	12. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of IDS (IDS)/PTO-1449 Citations	
	13. <input type="checkbox"/> Preliminary Amendment	
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>	
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent	
	17. <input type="checkbox"/> Other:	

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:    filed   

<b>19. CORRESPONDENCE ADDRESS</b>		
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